

Veridien Global Investors LLC (Veridien) (veridienglobalinvestors.com) is registered with the US Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you, our client, to understand the differences. There are free and simple tools available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing. This document is a summary of the services and fees we offer to "retail" investors, which are natural persons who seek or receive services primarily for personal, family, or household purposes.

What investment services and advice can you provide me?

We offer discretionary portfolio management services for high net-worth individuals and primarily invest in exchange traded equity securities and/or ADRs. As part of our separately managed account (SMA) advisory services, we may invest your assets according to one or more model portfolios developed by our firm. These models are designed for investors with varying degrees of risk tolerance ranging from a more aggressive investment strategy to a more conservative investment approach. Our investment advice is tailored to meet our clients' needs and investment objectives. Detailed information regarding our SMA fees and other disclosures can be found in our Form ADV Part 2A Items 4, 7, and 8 by clicking this link [Form ADV 2A brochure](#).

Account Monitoring: If you open an investment account with our firm, as part of our standard service we will monitor your investments at least quarterly, to ensure the advisory services provided are consistent with the stated investment needs and objectives of our clients.

Investment Authority: We manage investment accounts on a **discretionary** basis whereby **we will decide** which investments to buy or sell for your account. Clients whose assets are invested in model portfolios may set reasonable restrictions on the specific holdings or allocations within the model, or the types of securities that can be purchased in the model, if the portfolio manager determines those restrictions will not be overly disruptive to the management strategy. In addition, we will accept reasonable client restrictions on the specific securities or the types of securities that may be held in your account upon your request and acceptance by the portfolio manager.

Account Minimums and Requirements: For SMAs, we require a minimum account size of \$50,000,000 to open and maintain an advisory account, which may be waived at our discretion.

Key Questions to Ask Your Financial Professional

- **Given my financial situation, should I choose an investment advisory service? Why or Why Not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications?**
- **What do these qualifications mean?**

What fees will I pay?

The following summarizes the principal fees and costs associated with engaging our firm for investment advisory services. For detailed information, refer to our Form ADV Part 2A, Items 5 and 6 by clicking this link [Form ADV 2A brochure](#).

Asset Based Fees - Fees are payable quarterly in arrears. Since the fees we receive are asset-based (i.e., based on the value of your account), we have an incentive to increase your account value which creates a conflict of interest especially for those accounts holding illiquid or hard-to-value assets. The fee ranges from 1.00% to .65% and is based upon the amount of assets under management and the duration of your agreement with us.

Description of Other Fees and Costs

All fees paid to Veridien are separate and distinct from the fees and expenses that may be charged by other parties related to your account such as fees paid to the custodian and broker, fees related to mutual funds, ETFs, and transactional fees.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Key Questions to Ask Your Financial Professional

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

- Because our revenue is derived from asset-based fees, we have an incentive to grow your account as much as possible. This could cause us to take overly aggressive positions in conflict with your interests in an attempt to grow your account, or could incentivize us to inflate the valuations of illiquid investments held in your account.

Refer to our Form ADV Part 2A Brochure by clicking this link <https://adviserinfo.sec.gov/firm/brochure/322798> to help you understand what conflicts exist.

Key Questions to Ask Your Financial Professional

- **How might your conflicts of interest affect me, and how will you address them?**

How do your financial professionals make money?

The financial professionals servicing your account(s) are compensated in the following two ways: salary and bonus. Financial professionals' compensation is a flat salary and discretionary bonus.

Do you or your financial professionals have legal or disciplinary history?

No, our firm and our financial professionals currently do not have any legal or disciplinary history to disclose.

Visit Investor.gov/CRS for a free and simple search tool to research Veridien and our investment professionals.

Key Questions to Ask Your Financial Professional

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

You can find additional information about Veridien's investment advisory services on the SEC's website at adviserinfo.sec.gov Our telephone number is 888-318-0133, Please call us for up-to-date information about our firm and to request a copy of our relationship summary.

Key Questions to Ask Your Financial Professional

- **Who is my primary contact person?**
- **Is he or she a representative of an investment adviser or a broker-dealer?**
- **Who can I talk to if I have concerns about how this person is treating me?**